RESEARCH POLICY
Policy, management and economic studies of science, technology and innovation

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DESCRIPTION

Research Policy (RP) articles examine empirically and theoretically the interaction between innovation, technology or research, on the one hand, and economic, social, political and organizational processes, on the other. All RP papers are expected to yield findings that have implications for policy or management.

Before submitting, please read the Research Policy Guide for Authors, as well as our guidelines on Journal keywords for submission, and notes for proposing a special issue. Please do not hesitate to contact us if you would like to obtain more information about the submission process, or if you have further questions at: respol@sussex.ac.uk.

Aims and Scope:
Research Policy (RP) is a multi-disciplinary journal devoted to analyzing, understanding and effectively responding to the economic, policy, management, organizational, environmental and other challenges posed by innovation, technology, R&D and science. This includes a number of related activities concerned with the creation of knowledge (through research), the diffusion and acquisition of knowledge (e.g. through organizational learning), and its exploitation in the form of new or improved products, processes or services.

RP is generally acknowledged to be the leading journal in the field of innovation studies, with its academic status and influence being reflected in a remarkably high 'Impact Factor' for a multi-disciplinary social science journal (please see below).

Authors intending to submit a paper to RP should first check whether that paper is consistent with the journal's Editorial Strategy as detailed in the Guide for Authors. RP uses an online submission process, https://www.editorialmanager.com/RESPOL/default.aspx and all papers are subject to a 'double-blind' review process, details as in the Guide for Authors. Besides research articles and notes, RP also publishes a variety of other types of papers including Special Issues (or shorter Special Sections) occasional discussion papers on important topical issues, and book reviews, again further information in the Guide for Authors.

Main Subjects Covered:
Economics of Innovation/Technology/Science; Entrepreneurs/Entrepreneurship; Evolutionary or (neo-)Schumpeterian Economics; Geography of Innovation - e.g. industrial clusters; Indicators - science, technology, R&D, innovation etc.; Innovation and Sustainability; Innovation Management/ Organization/Policy/Strategy; Innovation Systems - national, regional, sectoral, technological; Knowledge - creation/production, diffusion/transfer/exchange, adoption/exploitation etc.; Learning
(e.g. organizational) and Experimentation; Product and Process Development; Networks - e.g. research/ R&D collaboration, university-industry links, regional clusters, supply chains; Research and Development (R&D) Management/Policy/Strategy; Research Policy; Resource-Based View of the Firm - competence/capability (e.g. absorptive, core, dynamic); Science Policy; Sociotechnical Paradigms/Regimes; Technological Paradigms/Trajectories; Technological problem-solving; Technology Management/Policy/Strategy.

**IMPACT FACTOR**

2019: 5.351 © Clarivate Analytics Journal Citation Reports 2020

**ABSTRACTING AND INDEXING**

Social Sciences Citation Index
Scopus
UnCover
Gale Group Trade & Industry Database
Compendex
Current Contents - Social & Behavioral Sciences
Economic Abstracts
ABI/Inform
International Political Science Abstracts
Journal of Economic Literature
UMI Data Courier
International Bibliography of the Social Sciences
Journal of Economic Literature
INSPEC

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GUIDE FOR AUTHORS

Your Paper Your Way
We now differentiate between the requirements for new and revised submissions. You may choose to submit your manuscript as a single Word or PDF file to be used in the refereeing process. Only when your paper is at the revision stage, will you be requested to put your paper in to a 'correct format' for acceptance and provide the items required for the publication of your article. To find out more, please visit the Preparation section below.

INTRODUCTION
Please do not hesitate to contact us if you would like to obtain more information about the submission process or if you have further questions at the email address respol@sussex.ac.uk. manuscripts submitted be double-spaced (or at least 1.5 spaced) in the ‘Guide for Authors? section

Editorial Strategy
Research Policy (RP) publishes original research contributions in the field of 'innovation studies'. RP Editors look for papers that deal with core RP issues such as innovation, technological change, R&D, science, and the management of research and knowledge, issues that are likely to be of interest to the broad RP readership that includes 'practitioners' (e.g. managers, consultants, policy-makers) as well as academic scholars. (See the list of 'Main subjects covered' for a more comprehensive list of the main issues https://www.elsevier.com/locate/respol).

Innovation studies spans a number of subfields including the economics of innovation (with particular attention to evolutionary and neo-Schumpeterian analysis); technology and innovation management; and innovation policy and science (or S&T) policy. In addition to innovation studies, RP also draws upon mainstream disciplines such as economics, management, organizational studies, sociology, economic geography, political science and certain specialized branches of history (history of technology, economic/business history) (see list of 'Main subjects covered'). The term 'innovation studies' has evolved from (and incorporates) the earlier fields of 'science policy,' 'research policy' (hence the name of the journal), 'science and technology (S&T) policy' and 'science, technology and innovation (STI) policy.'

Authors considering whether to submit a paper to RP need to ensure not only that the main focus of the paper relates to one or more of the core subjects listed in 'Main subjects covered' but also that they approach the topic in a manner that is likely to be of interest to a large proportion of RP’s wide-ranging readership (i.e. the paper should be neither too narrow nor too technical). Amongst other things, this includes engaging substantially with the body of literature familiar to the journal’s readership as well as focusing on research with potentially significant policy or management implications.

Submitted papers that have little direct relationship to the core RP issues, even if such papers are good, are likely to be rejected as 'out of scope'. In addition, some submitted papers, while they address an RP issue, may do so in a manner that is more appropriate to publication in a mainstream economics, management or other disciplinary journal, and they too are likely to be desk-rejected.

Types of Paper
RP publishes:
- Research Articles - full-length papers of up to 8-10,000 words
- Special Issues and Special Sections (see below)
- Research Notes - typically of 3-5,000 words, this category is a vehicle for specific types of material that merit publication, but do not require all the ‘normal’ components of a full research article. This might cover, for example, specific aspects of methodology that have broad relevance for RP readers, or short reports about specific sets or types of data (and their access and use) that merit publication without the full set of requirements for a normal article. It might also be relevant, for example, for updating an earlier RP paper, where it is not necessary to repeat the literature review, methodology etc.
- Discussion Papers - occasionally published on important topical issues where views differ; where such a paper has been accepted in principle, an RP Editor will commission perhaps two responses from those holding different views to appear alongside the discussion paper.
- Book Reviews - commissioned by RP Book Review Editor. (However, RP does not attempt to cover all new books in the field, only a selected few that are felt likely to be of wide-ranging importance for the field of innovation studies.)
Special Issues and Special Sections
Approximately twice a year, RP may publish a Special Issue (or a somewhat shorter Special Section) on a particular theme, where an integrated collection of articles has been put together and edited by two or three Guest Editors. Special Issues/Sections can fulfil a number of important functions:

- bringing together and integrating work on a specific theme (for instance, bringing together theoretical and empirical work, or work based on different methodological approaches);
- opening up a previously under-researched area (or one that has perhaps struggled with a rather conservative peer review process in its efforts to achieve recognition);
- constructing a bridge between formerly rather separate research communities, who have been focusing on similar or related topics.

Those thinking of proposing a Special Issue/Section should first consult or download the 'Notes for Proposers and Guest Editors' which can be found at Notes. These notes provide guidance on the nature and content of the 2-4 page proposal required. Proposals should be submitted to respol@sussex.ac.uk at or before the start of March or September each year. These proposals are then reviewed by the RP Editors on the basis of certain criteria that include: the novelty, importance and topicality of the theme; whether the papers will form an integrated whole; the standing of the authors; the experience of the Guest Editors in handling a task of this magnitude; and the overall 'added value' of a Special Issue or Section (as compared with publishing these papers separately in 'normal' issues). Those thinking of submitting a proposal, however, should bear in mind that, out of the half a dozen or so proposals considered every six months, only one on average will be allocated a Special Issue 'slot', so the competition is intense. A group of loosely connected papers from a conference on a fairly standard subject is unlikely to be accepted.

Review Process
All RP papers are reviewed using a 'double-blind' process in which reviewers are not informed who are the authors of the paper, as well as the authors not knowing who are the reviewers. To make this possible, authors need to submit two versions of their papers, a 'full' one which will be seen only by the handling Editor, and a 'blinded' version in which the names and addresses of authors have been removed and any identifying references have been suitably anonymised (the version sent to referees). Submitted papers are first considered by the RP Editor to whom they were submitted. Papers that do not fall within the scope of RP are 'desk-rejected'. (Those that are borderline may be sent to an RP Advisory Editor who is a specialist on that topic for advice.) Papers that, while they address an RP issue, do so in a manner that is more appropriate to publication in a mainstream economics, management or other disciplinary journal, may also be desk-rejected (again perhaps following specialist advice from an RP Advisory Editor). In addition, papers that fail to meet a minimum threshold for quality and originality will be rejected without being sent out to reviewers.

Papers passing through this initial editorial scrutiny are then typically sent out to three referees. If one or more of these turns down the invitation to provide a review, other referees will subsequently be appointed. Normally, at least two authoritative reviews are needed before the handling Editor can make a decision as to whether to accept, reject, or ask for a 'revise and resubmit' of the submitted paper.

Currently, approximately one third of the papers submitted to RP are desk-rejected, about one third are rejected after peer review, and one third are eventually accepted (most after being revised once if not twice).

Contact details for submission
Submission to Research Policy now proceeds totally online via the Editorial Manager system https://www.editorialmanager.com/respol/ (see below). Editorial Manager provides detailed guidance to authors submitting papers as well as to referees invited to submit a review. Authors in need of assistance should contact: The Editorial Assistant, Research Policy Editorial Office at SPRU, SPRU-Science Policy Research Unit, Freeman Centre, School of Business, Management & Economics, Jubilee Building, University of Sussex, Brighton BN1 9SL. E-mail: R.Ganesan@elsevier.com
**Manuscript**

Manuscripts submitted be double-spaced (or at least 1.5 spaced) in the `Guide for Authors? section

**BEFORE YOU BEGIN**

*Ethics in Publishing*

Research Policy and Elsevier adhere to the highest standards with regard to research integrity and in particular the avoidance of plagiarism, including self-plagiarism. It is therefore essential that authors, before they submit a paper, carefully read the Ethical guidelines for journal publication. Particular attention should be paid to the sections under 'Duties of Authors' on 'Originality and Plagiarism' and 'Multiple, Redundant or Concurrent Publication'.

When submitting a paper on Editorial Manager, authors will be prompted as to whether they have read and agree to these guidelines before proceeding further with their submission. They will be asked specifically for an assurance that the paper contains no element of data fabrication, data falsification or plagiarism (including unacknowledged self-plagiarism). Authors are reminded that, where they draw upon material from another source, they must EITHER put that material in the form of a quote, OR write it entirely in their own words (i.e. there is no 'middle way'). In both cases, they must explicitly cite the source, including the specific page number in the case of a quote or a particular point.

*Declaration of competing interest*

All authors must disclose any financial and personal relationships with other people or organizations that could inappropriately influence (bias) their work. Examples of potential conflicts of interest include employment, consultancies, stock ownership, honoraria, paid expert testimony, patent applications/registrations, and grants or other funding. Authors should complete the declaration of competing interest statement using this template and upload to the submission system at the Attach/Upload Files step. **Note: Please do not convert the .docx template to another file type. Author signatures are not required.** If there are no interests to declare, please choose the first option in the template. This statement will be published within the article if accepted. More information.

When submitting a paper to RP, authors need to select a specific Editor. They should choose the Editor who is best suited in the light of the content of the paper, please see list of relevant keywords for each editor here. However, authors should not submit to an Editor working in same institution (or one who has worked in the same institution over the previous five years). Nor should they submit to an Editor with whom they have co-authored, collaborated or had some professional or personal relationship over the last five years. If in any doubt, authors should explicitly mention the nature of their relationship and any possible conflict of interest in a covering letter to the Editor when they submit the paper so that the Editor can take a view and, if necessary, allocate the paper to be handled by another RP Editor.

*Submission declaration and verification*

Submission of an article implies that the work described has not been published previously (except in the form of an abstract, a published lecture or academic thesis, see 'Multiple, redundant or concurrent publication' for more information), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. To verify originality, your article may be checked by the originality detection service Crossref Similarity Check.

*Use of inclusive language*

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**Author contributions**

For transparency, we encourage authors to submit an author statement file outlining their individual contributions to the paper using the relevant CRediT roles: Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Roles/Writing - original draft; Writing - review & editing. Authorship statements should be formatted with the names of authors first and CRediT role(s) following. More details and an example

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Please write your text in good English (American or British usage is accepted, but not a mixture of these). Authors who feel their English language manuscript may require editing to eliminate possible grammatical or spelling errors and to conform to correct scientific English may wish to use the English Language Editing service available from Elsevier's Author Services.

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Submission
Our online submission system guides you stepwise through the process of entering your article details and uploading your files. The system converts your article files to a single PDF file used in the peer-review process. Editable files (e.g., Word, LaTeX) are required to typeset your article for final publication. All correspondence, including notification of the Editor's decision and requests for revision, is sent by e-mail.

You will be asked to submit both a full version of your paper and also a 'blinded' version in which all the authors' names and affiliations have been removed and any identifying references have been suitably anonymised. You may also want to submit a covering letter to the Editor, bringing to his/her attention any pertinent facts with regard to the changes made in the 'blinded' version.

The Editorial Manager system automatically converts these source files to PDF files, which are then used in the peer-review and editing process. Please note that, even though manuscript source files are converted into PDF files at submission for the review process, these source files are needed for further processing after acceptance. All correspondence, including notification of the Editor's decision and requests for revision, takes place by e-mail through the Editorial Manager on-line system, removing the need for a separate paper trail. Authors are therefore requested to refrain from sending emails to the Editor outside the Editorial Manager system unless this is absolutely essential.

PREPARATION
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There are no strict formatting requirements but all manuscripts must contain the essential elements needed to convey your manuscript, for example Abstract, Keywords, Introduction, Materials and Methods, Results, Conclusions, Artwork and Tables with Captions.
If your article includes any Videos and/or other Supplementary material, this should be included in your initial submission for peer review purposes.
Divide the article into clearly defined sections.

Figures and tables embedded in text
Please ensure the figures are tables included in the single file are placed either next to the relevant text in the article or on separate pages(s) at the end (not a mixture of both).

Peer review
This journal operates a double anonymized review process. All contributions will be initially assessed by the editor for suitability for the journal. Papers deemed suitable are then typically sent to a minimum of two independent expert reviewers to assess the scientific quality of the paper. The Editor is responsible for the final decision regarding acceptance or rejection of articles. The Editor's decision is final. Editors are not involved in decisions about papers which they have written themselves or have been written by family members or colleagues or which relate to products or services in which the editor has an interest. Any such submission is subject to all of the journal's usual procedures, with peer review handled independently of the relevant editor and their research groups. More information on types of peer review.

Double-blind review
This journal uses double-blind review, which means the identities of the authors are concealed from the reviewers, and vice versa. More information is available on our website. To facilitate this, please include the following separately:

Title page (with author details): This should include the title, authors' names, affiliations, acknowledgements and any Declaration of Interest statement, and a complete address for the corresponding author including an e-mail address.

Blinded manuscript (no author details): The main body of the paper (including the references, figures, tables and any acknowledgements) should not include any identifying information, such as the authors' names or affiliations including 'header' and 'footnote'.

REVISED SUBMISSIONS
The Editors request that text should be left-aligned and double-spaced (or at least 1.5 spacing), with margins of 1 inch or 2.5 cm all round.
In addition, make sure that you have first 'accepted' all changes previously listed in earlier versions under 'track changes', and that all embedded comments or highlighting of the text has likewise been removed.
To avoid unnecessary errors you are strongly advised to use the "spell-check" and "grammar-check" functions of your word-processor. Authors for whom English is not their first language should also seek help from colleagues or professional editors if this is necessary to bring the standard of the written English up to an acceptable standard.

Use of word processing software
Regardless of the file format of the original submission, at revision you must provide us with an editable file of the entire article. Keep the layout of the text as simple as possible. Most formatting codes will be removed and replaced on processing the article. The electronic text should be prepared in a way very similar to that of conventional manuscripts (see also the Guide to Publishing with Elsevier). See also the section on Electronic artwork.
To avoid unnecessary errors you are strongly advised to use the 'spell-check' and 'grammar-check' functions of your word processor.

**Article structure**

*Subdivision - numbered sections*

Divide your article into clearly defined and numbered sections. Subsections should be numbered 1.1 (then 1.1.1, 1.1.2, ...), 1.2, etc. (the abstract is not included in section numbering). Use this numbering also for internal cross-referencing: do not just refer to 'the text'. Any subsection may be given a brief heading. Each heading should appear on its own separate line.

Please note that the 'acknowledgements' section at the end should not be included in the section number either.

A typical article might include the following main sections.

*Introduction*

State the objectives of the work and provide an adequate background, avoiding a detailed literature survey or a summary of the results.

The introduction should also justify why the topic of the paper is important and that the content is original. The summary of results should have been dealt with in the abstract.

*Literature review, conceptual framework, hypotheses etc.*

This section should extend (but not repeat) the background to the article already dealt with in the Introduction and lay the foundation for the work being reported. It should identify the most relevant previous literature on the topic (but not in excessive detail) in order to position the paper and demonstrate how it will make a significant contribution. It (or a separate section) should set out (and justify) the theoretical or conceptual framework adopted in the paper. It may identify a number of hypotheses to be tested or research questions to be explored. In short, this section (or sections) should explain what is the motivation for the paper and why its contribution is original and significant.

*Material and methods*

Provide sufficient details to allow the work to be reproduced by an independent researcher. Methods that are already published should be summarized, and indicated by a reference. If quoting directly from a previously published method, use quotation marks and also cite the source. Any modifications to existing methods should also be described.

The reader needs to know that the empirical data and/or other material are relevant, reliable and capable of supporting robust conclusions, and that the methodology is appropriate, systematic and rigorous.

*Results*

Results should be clear and concise.

*Discussion*

This should explore the significance of the results of the work, not repeat them. A combined Results and Discussion section is often appropriate. Avoid extensive citations and discussion of published literature.

*Conclusions*

The main conclusions of the study may be presented in a short Conclusions section, which may stand alone or form a subsection of a Discussion or Results and Discussion section.

This section should also make clear what is the original contribution of the paper, discuss the policy or management implications of the findings, provide a critical assessment of the limitations of study, and outline possible fruitful lines for further research.

*Appendices*

If there is more than one appendix, they should be identified as A, B, etc. Formulae and equations in appendices should be given separate numbering: Eq. (A.1), Eq. (A.2), etc.; in a subsequent appendix, Eq. (B.1) and so on. Similarly for tables and figures: Table A.1; Fig. A.1, etc.

*Article length*

RP has a strong preference for articles to be no more than 8-10,000 words. In exceptional circumstances, however, the RP Editor handling the paper may be willing to agree some latitude here with the author.
Essential title page information

- **Title.** Concise and informative. Titles are often used in information-retrieval systems. Avoid abbreviations and formulae where possible.
- **Author names and affiliations.** Please clearly indicate the given name(s) and family name(s) of each author and check that all names are accurately spelled. You can add your name between parentheses in your own script behind the English transliteration. Present the authors' affiliation addresses (where the actual work was done) below the names. Indicate all affiliations with a lower-case superscript letter immediately after the author’s name and in front of the appropriate address. Provide the full postal address of each affiliation, including the country name and, if available, the e-mail address of each author.
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Example title

Often, it may be helpful to split this into a short main title, followed (after a colon or a 'dash') by a subtitle: for example, 'Profiting from technological innovation: Implications for integration, collaboration, licensing and public policy'.

Highlights

Highlights are mandatory for this journal as they help increase the discoverability of your article via search engines. They consist of a short collection of bullet points that capture the novel results of your research as well as new methods that were used during the study (if any). Please have a look at the examples here: example Highlights.

Highlights should be submitted in a separate editable file in the online submission system. Please use 'Highlights' in the file name and include 3 to 5 bullet points (maximum 85 characters, including spaces, per bullet point).

Abstract

A concise and factual abstract is required. The abstract should state briefly the purpose of the research, the principal results and major conclusions. An abstract is often presented separately from the article, so it must be able to stand alone. For this reason, References should be avoided, but if essential, then cite the author(s) and year(s). Also, non-standard or uncommon abbreviations should be avoided, but if essential they must be defined at their first mention in the abstract itself.

Graphical abstract

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